

<b>General information about company</b>	
Scrip code	532610
Name of the company	Dwarikesh Sugar Industries Ltd
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

<b>Table I - Summary Statement holding of specified securities</b>											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	7883351			7883351	41.87	7883351		7883351	41.87
(B)	Public	11054	10946796			10946796	58.13	10946796		10946796	58.13
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	11061	18830147			18830147	100	18830147		18830147	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				41.87					7883351
(B)	Public				58.13					10890973
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					18774324

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	3099344			3099344	16.46	3099344		3099344	16.46
(d)	Any Other (specify)	2	4784007			4784007	25.41	4784007		4784007	25.41
Sub-Total (A)(1)		7	7883351			7883351	41.87	7883351		7883351	41.87
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	7883351			7883351	41.87	7883351		7883351	41.87
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	5	1040000			1040000	5.52	1040000		1040000	5.52
(e)	Foreign Portfolio Investors	9	1668476			1668476	8.86	1668476		1668476	8.86
(f)	Financial Institutions/ Banks	2	73112			73112	0.39	73112		73112	0.39
(g)	Insurance Companies	1	125000			125000	0.66	125000		125000	0.66
Sub-Total (B)(1)		17	2906588			2906588	15.44	2906588		2906588	15.44
(2)	Central Government/ State Government(s)/ President of India	1	25000			25000	0.13	25000		25000	0.13
Sub-Total (B)(2)		1	25000			25000	0.13	25000		25000	0.13
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	9923	3078562			3078562	16.35	3078562		3078562	16.35
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	28	2288634			2288634	12.15	2288634		2288634	12.15
(e)	Any Other (specify)	1085	2648012			2648012	14.06	2648012		2648012	14.06
Sub-Total (B)(3)		11036	8015208			8015208	42.57	8015208		8015208	42.57
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		11054	10946796			10946796	58.13	10946796		10946796	58.13
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		11061	18830147			18830147	100	18830147		18830147	100
Total (A+B+C)		11061	18830147			18830147	100	18830147		18830147	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.46					3099344
(d)				25.41					4784007
Sub-Total (A) (1)				41.87					7883351
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				41.87					7883351
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				5.52					1040000
(e)				8.86					1668476
(f)				0.39					73112
(g)				0.66					125000
Sub-Total (B) (1)				15.44					2906588
( 2 )				0.13					25000
Sub-Total (B) (2)				0.13					25000
( 3 )	Non-institutions								
(a(i))				16.35					3048255
(a(ii))				12.15					2288634
(e)				14.06					2622496
Sub-Total (B) (3)				42.57					7959385
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				58.13					10890973
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					18774324
Total (A+B+C )				100					18774324

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	GAUTAM R MORARKA	GAUTAM R MORARKA	PRANAY GAUTAM MORARKA	PRIYANKA G MORARKA	S G MORARKA	<a href="#">Click here to go back</a>
PAN (II)	AAKPM6075B	AADHG0441A	ANUPM8420D	AIOPM0635P	AFAPM9992C	Total
No. of fully paid up equity shares held (IV)	2826659	6300	124971	51236	90178	3099344
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2826659	6300	124971	51236	90178	3099344
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	2826659	6300	124971	51236	90178	3099344
Class eg:y						
Total	2826659	6300	124971	51236	90178	3099344
Total as a % of Total Voting rights	15.01	0.03	0.66	0.27	0.48	16.46
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	2826659	6300	124971	51236	90178	3099344
Reason for not providing PAN						
Reason for not providing PAN						

<b>Any Other (specify)</b>			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	DWARIKESH TRADING COMPANY LTD	MORARKA FINANCE LIMITED	
PAN (II)	AABCD8270H	AAACM2821Q	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	2624889	2159118	4784007
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2624889	2159118	4784007
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.94	11.47	25.41
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	2624889	2159118	4784007
Class eg:y			
Total	2624889	2159118	4784007
Total as a % of Total Voting rights	13.94	11.47	25.41
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.94	11.47	25.41
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	2624889	2159118	4784007
Reason for not providing PAN			



<b>Mutual Funds</b>			
Serial No.	1	2	
Name of the Shareholders (I)	L AND T MUTUAL FUND TRUSTEE LTD	PRINCIPAL TRUSTEE CO.PVT.LTD.	<a href="#">Click here to go back</a>
PAN (II)	AAATC4460E	AAATP6607N	Total
No. of fully paid up equity shares held (IV)	640000	240000	880000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	640000	240000	880000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.4	1.27	4.67
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	640000	240000	880000
Class eg:y			
Total	640000	240000	880000
Total as a % of Total Voting rights	3.4	1.27	4.67
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.4	1.27	4.67
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	640000	240000	880000
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			

Foreign Portfolio Investors					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	HYPNOS FUND LIMITED	MORGAN STANLEY MAURITIUS CO LTD	NOMURA SINGAPORE LIMITED	RESONANCE OPPORTUNITES FUND	Click here to go back
PAN (II)	AACCH6785N	AADCM5927G	AADCN2750N	AAFRCR4798A	Total
No. of fully paid up equity shares held (IV)	211000	301072	300000	668000	1480072
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	211000	301072	300000	668000	1480072
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.12	1.6	1.59	3.55	7.86
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	211000	301072	300000	668000	1480072
Class eg:y					
Total	211000	301072	300000	668000	1480072
Total as a % of Total Voting rights	1.12	1.6	1.59	3.55	7.86
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.12	1.6	1.59	3.55	7.86
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	211000	301072	300000	668000	1480072
Reason for not providing PAN					
Reason for not providing PAN					

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>			
Serial No.	1	2	
Name of the Shareholders (I)	KRISHNA KUMAR KUMBHAT	MUKUL M AGRAWAL	<a href="#">Click here to go back</a>
PAN (II)	AFOPK3469E	AARFP3029P	Total
No. of fully paid up equity shares held (IV)	344604	660000	1004604
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	344604	660000	1004604
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.83	3.51	5.34
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	344604	660000	1004604
Class eg:y			
Total	344604	660000	1004604
Total as a % of Total Voting rights	1.83	3.51	5.34
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.83	3.51	5.34
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	344604	660000	1004604
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Clearing Members	Bodies Corporate	NRI – Repat	NRI – Non-Repatriate	Director or Director's Relatives	Other	Other
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)						FOREIGN NATIONALS	LLP/PARTNERSHIP FIRM
PAN (II)							
No. of the Shareholders (I)	296	332	104	49	2	1	5
No. of fully paid up equity shares held (IV)	281481	1753220	96861	45865	1031	500	8993
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	281481	1753220	96861	45865	1031	500	8993
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.49	9.31	0.51	0.24	0.01	0	0.05
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	281481	1753220	96861	45865	1031	500	8993
Class eg:y							
Total	281481	1753220	96861	45865	1031	500	8993
Total as a % of Total Voting rights	1.49	9.31	0.51	0.24	0.01	0	0.05
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.49	9.31	0.51	0.24	0.01	0	0.05
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	281481	1753220	71345	45865	1031	500	8993
Reason for not providing PAN							
Reason for not providing PAN							

<b>Any Other (specify)</b>		
Serial No.	8	
Category	HUF	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		<a href="#">Click here to go back</a>
PAN (II)		Total
No. of the Shareholders (I)	296	1085
No. of fully paid up equity shares held (IV)	460061	2648012
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	460061	2648012
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.44	14.05
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	460061	2648012
Class eg:y		
Total	460061	2648012
Total as a % of Total Voting rights	2.44	14.05
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.44	14.05
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	460061	2622496
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		

